

IN THE UNITED STATES PATENT AND TRADEMARK  
OFFICE PATENT OPERATIONS

PATENT

Art Unit:  
Applicants: Thomas KISSEL et al.  
Application No.  
Filed:  
Title: Cationic block copolymers

Charlotte, North Carolina  
January 11, 2002

Honorable Commissioner for Patents  
Washington, DC 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to Rule 56 and Rule 97 *et seq.*, Applicant hereby submits the attached Forms PTO/SB/08A; PTO/SB/08B with the references listed thereon. The relevancy of each reference is disclosed in the attached European Search Report.

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,



Klaus Schweitzer

See attached Limited Recognition Under 37 CFR§10.9(b)

ProPat L.L.C.

2912 Crosby Road

Charlotte, North Carolina 28211-2815

Telephone (704) 365-4881

Attorney's Docket No. 99/074 MED

PTO/SB/08A				Complete if Known	
Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATE BY APPLICANT</b>				Application Number	
				10/030803	
				Filing Date	
				First Named Inventor	
				Thomas KISSEL	
				Group Art Unit	
				Examiner Name	
Sheet 1 Of 1				Attorney Docket Number	
				99/074 MED	

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.	U. S. Patent Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		5,204,196		Yokomichi et al.	04/20/1993	

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T2
		Office	Number	Kind Code				
		DE	39 01 281	A1	Bayer <i>et al.</i>	07/19/1990		
		WO	92/07023	A1	Brink <i>et al.</i>	04/30/1992		
		WO	98/590646*	A1	Wagner <i>et al.</i>	12/30/1998		
Examiner Signature				DATE CONSIDERED				

\* Also mentioned in specification

PTO/SB/08B				Complete if Known	
Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATE BY APPLICANT</b>				Application Number	10/030803
				Filing Date	
				First Named Inventor	Thomas Kissel
				Group Art Unit	
				Examiner Name	Unknown
Sheet	1	Of	1	Attorney Docket Number	99/074 MED

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials'	Cite No.	Include name of the author (in CAPITAL LETTERS), Title of the Article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published	T2
		SIDOROV S.N. <i>et al.</i> : "Stabilization of Metal Nanoparticles in Aqueous Medium by Polyethyleneoxide-Polyethyleneimine Block Copolymers" Journal of Colloid and Interface Science, vol. 212, no. 2, 1999, pages 197-211, XP002154207	
EXAMINER		DATE CONSIDERED	
EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			